

Monitoring of water stress in peanut using multispectral indices derived from canopy hyperspectral

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Abstract: Drought stress was a severe environmental constraint to peanut growth all over the world, and became more and more serious with the global warming context. So, timely and accurate monitoring of water status in peanut is important for farmer to irrigate promptly and acquire higher yield. Our study was conducted to select the most appropriate multispectral indices for water stress monitoring of two peanut cultivars based on canopy spectral reflectance in visible-infrared (VIS) and near infrared (NIR) region. The physiological parameters chlorophyll fluorescence (Fv/Fm), chlorophyll content (SPAD) and leaf relative water content (LRWC) decreased as the drought stress level increased and showed significant relationships between each other. Decreases on the canopy spectral reflectance were observed in both cultivars, especially in NIR region (720-900 nm) as the leaf water loss was intensified. Six indices (RDVI, TCARI, OSAVI, TCARI/OSAVI, MTVI, and EVI-2) showed higher polynomial relationship ($R^2 > R^2_{0.05}$, $n=93$) with the physiology parameters (Fv/Fm, SPAD and LRWC, respectively) based on the pooled data, which included the two cultivars, three drought stress treatments and the replications. After testing the above six sensitive indices under different drought stress, MTVI was the only multispectral indices, which showed significant curvilinear relationships with the three parameters under different drought stress conditions and might be a useful tool in the development of automatic systems. Our results may provide a non-destructive, simple and real-time method for water status monitoring in peanut production that can assist farmers in timely irrigation.

Keywords: *Arachis hypogaea* L., drought, canopy reflectance, monitor

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1 Introduction

Peanut (*Arachis hypogaea* L.) is a major oil and food crop^[1]; it is cultivated in more than 100 countries and features a world production of 45.22 million tons^[2]. However, more than 70% of the peanuts face the drought stress, which affect the peanut production, in the semi-arid tropics^[3]. With global warming context, drought has become a more acute problem all over the world^[4,5].

In order to solve the drought effect on plants growth, many studies have been conducted employing the mechanism of drought

tolerance in plants at physiology^[6] and molecular levels^[7] or obtaining the water status of plant and irrigate timely^[8]. Many important morphophysiological parameters, such as chlorophyll fluorescence, chlorophyll content and leaf relative water content (LRWC) are the first reaction to drought stress^[9-11]. Chlorophyll content and fluorescence is the most popular techniques in plant physiology because of the ease with which the user can gain detailed information on the state of photosystem II (PSII) at a relatively low cost and is extremely suitable for screening the physiological parameters of plants^[10,12]. However, the traditional measurement of these parameters based on plant sampling technique were destructive, time-consuming and inappropriate for real-time monitoring of the water status of plant. Additionally, the traditional method might provide information on a single leaf irrespective of age and positions of leaves^[13]. Therefore, measurements of these parameters based on single leaf cannot reflect the entire canopy accurately, and the drought was associated with various subjects like agriculture, meteorology and plant physiology and it is an interaction field for natural systems^[14]. Therefore, it is important for crop managers to obtain a practical method that timely provides precise information about the above information for reflecting water status of plants to guide the irrigate production on time.

Hyperspectral reflectance technique has been demonstrated an valuable and powerful methods for assessing the abiotic stress^[15] and remote sensing-based drought indices have been widely used

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for quantification of plant water status. To date, many studies have developed drought indices to characterize drought conditions, such as photochemical reflectance index (PRI)^[16], renormalized difference vegetation index (RDVI)^[17], normalized difference vegetation index (NDVI)^[18], transformed chlorophyll absorption in reflectance index (TCARI)^[19], optimized soil adjusted vegetation index (OSAVI)^[19], modified transformed vegetation index (MTVI)^[20], and enhanced vegetation index-2 (EVI-2)^[21] et al. Until now, many researches have constructed the drought indices and summarized the disadvantages and advantages of these indices based on the application and sensitive region^[22]. However, the indices may vary seriously as the physical environment (climate, soil and crop) varies from region to region and each crop responds to drought stress differently. To the best of our knowledge, there are few studies that are conducted to monitoring the water status of peanut plant accurately through remote sensing under drought stress. Therefore, this study will compare the performance of 20 multispectral indices to determine which are most appropriate for monitoring agricultural drought stress in South China. The aim of this study is to (1) compare the chlorophyll fluorescence, SPAD values and leaf relative water content of two peanut cultivars under different drought stress and their relationships, (2) analyze the change of canopy spectral reflectance of the two peanut cultivar under different drought stress, and (3) select and test the best multispectral indices for monitoring the LRWC, SPAD and Fv/Fm, which could monitor the water status of peanut plants when subjected to different drought stress conditions.

2 Materials and methods

2.1 Experiment design

The experiment was conducted under a rain exclusion shelter during the growth seasons of 2018 at the Experimental Research Farm, College of Agriculture, South China Agricultural University, Guangzhou, China (location: 23°09'N, 113°22'E; altitude: 11 m). Cultivars Yuhua 9326 and Zhonghua 4 were chosen, as they are widely grown in China. Uniform seeds of both cultivars were surface-sterilized by dipping in 0.5% hypochlorite solution for 20min and then rinsing thoroughly with distilled water followed by drying before sowing. Each pot was filled with 10 kg air-dried, sieved and uniformly mixed soil. 0.8 g N, 0.5 g P₂O₅ and 1.2 g K₂O were applied for each pot. Two seeds were sowed each pot. Each pot was irrigated to (75±5)% field capacity (FC). Other weeds and pests were controlled according to local agronomic practices.

When the peanut plants begin flowering (40 days after planting), three drought stress treatments, including well-watered, mild drought stress and severe drought stress corresponding to (75±5)% FC, (55±5)% FC, and (35±5)% FC, respectively, were applied to each cultivar. For drought stress imposition, the irrigation to pots was withhold until the soil FC reached to (55±5)% FC, and (35±5)% FC for mild and severe drought stress. Then drought stress treatments were maintained for 7days. Soil FC for the specific drought levels was maintaining by weighting pots and then compensating on a daily basis.

2.2 Data acquisition

2.2.1 Physiological parameters measurement

At the last day of the drought experiment, chlorophyll fluorescence was measured using a portable chlorophyll fluorometer (PAM-2500, Walz, Germany). Three readings were taken on functional leaves in each treatment randomly. The parameters measured were: maximum fluorescence (Fm), basal

fluorescence (Fo), variable fluorescence yield (Fv) of dark-adapted leaves (measured at night); The calculated parameters were Maximum Quantum Yield of PSII Photochemistry (Fv/Fm) The relative chlorophyll content by SPAD (Soil Plant Analysis Development) chlorophyll reading (SPAD-502, Konica Minolta Optics Inc., Japan) were measured the same leaves as the chlorophyll fluorometer.

Leaf relative water content was determined according to the methods of Barrs and Weatherly (1962) as $LRWC = (FW - DW) / (TW - DW)$, where FW is fresh leaf weight, DW is dry weight and TW is turgid weight after 24h floating in distilled water at 4°C in darkness^[23].

2.2.2 Canopy reflectance measurements

In parallel with the chlorophyll fluorescence measurements, canopy reflectance spectra were measured according to the methods of Chen et al. (2018)^[24] by using a FieldSpec UV/VNIR spectroradiometer (ASD Inc., Boulder, Colorado, USA) over the 325-1075 nm wavelength range at 3 nm intervals. The 3 nm intervals are automatically interpolated to 1nm intervals by this instrument. The field of view is 25°. Measurements were taken on clear, sunny days between 10:00h and 14:00h (Beijing time). A panel radiance measurement was taken to optimize the instrument before and after every plot measurement. The adaxial surfaces of the samples were measured five times to generate an average spectral reflectance curve. The generated data were interpolated using ASD ViewSpec Pro software to obtain reflectance values at 1 nm intervals.

2.3 Data analysis

The raw DN values recorded from the field were converted to reflectance values using the ASD ViewSpecPro software ((ASD), 2002). From a physiological perspective, the changes in chlorophyll content, chlorophyll fluorescence, and leaf relative water content on the leaf surface induced by drought stress are responsible for the detected spectral changes. Therefore, to utilize these important features potentially sensitive to changes, a total of 20 multispectral indices extracted from the literature (Table 1) were subjected to linear, polynomial, exponential and power regression analysis in order to quantify their relationship with the three physiological parameters using the data ($n = 86$).

Person correlation coefficient (r) between the three physiological parameters was calculated under different drought stress conditions ($n=31$), and the R^2 and the equation were used to evaluate fitness between the physiological parameters and multispectral indices under different drought stress conditions. The graphs were generated using OriginPro 2018.

3 Results and discussion

3.1 Effect of drought stress on leaf relative water content, SPAD values and Fv/Fm of peanut leaves

Osmotic adjustment is an important mechanism of crop drought resistance. Under drought stress, cells maintain a certain swell pressure by accumulating inorganic molecules and soluble organic matter, so that physiological processes such as cell growth, stomatal movement and photosynthesis are normal; however, osmotic adjustment is lost during severe drought (Blum, 1989; Mccree, 1986). According to our results, drought stress significantly decreased peanut leaves LRWC, Fv/Fm and SPAD of both cultivars, with no significant difference between cultivars Yuhua 9326 and Zhonghua 4 (Figure 1), which in turn less light was reflected in the drought stress leaves^[33] and might explain the change of canopy reflectance under drought stress in our study.

Table 1 Full name and abbreviation of the multispectral indices used in this study

Full name of multispectral indices	Formula	Citation
Photochemical reflectance index (PRI)	$(R531 - R570)/(R531 + R570)$	Gamon (1992) ^[16]
Normalized difference vegetation index (NDVI)	$(R800 - R670)/(R800 + R670)$	Rouse et al. (1973) ^[25]
Renormalized difference vegetation index (RDVI)	$(R800 - R670)/(R800 + R670)^{0.5}$	Rougean and Breon, (1995) ^[26]
Transformed chlorophyll absorption in reflectance index (TCARI)	$3 * [(R700 - R670) - 2(R700 - R550) * (R700/R670)]$	Haboudane et al (2002) ^[19]
Optimized soil adjusted vegetation index (OSAVI)	$((1 + 0.16)(R800 - R670))/((R800 + R670) + 0.16)$	Haboudane et al (2002) ^[19]
Modified chlorophyll absorption ratio index (MCARI)	$[(R750 - R705) - 0.2 * (R750 - R550)] * (R750/R705)$	Daughtry et al.(2000) ^[27]
Green chlorophyll index (GCI)	$(R800/R550) - 1$	Wu et al (2012) ^[28]
Structure insensitive pigment index (SIPI)	$(R800 - R445)/(R800 - R680)$	Penuelas et al. (1995) ^[29]
Red edge model (REM)	$(R800/R700) - 1$	Gitelson et al. (2005) ^[30]
TCARI/OSAVI	$3 * [(R700 - R670) - 2(R700 - R550) * (R700/R670)] / ((1 + 0.16)(R800 - R670))/((R800 + R670) + 0.16)$	Haboudane et al. (2002) ^[19]
Pigment specific simple ratio-a (PSSR-a)	$R800/R680$	Blackburn (1998) ^[31]
Pigment specific simple ratio-b (PSSR-b)	$R800/R635$	Blackburn (1998) ^[31]
Pigment specific simple ratio-c (PSSR-c)	$R800/R470$	Blackburn (1998) ^[31]
Pigment specific normalized difference-a (PSND-a)	$(R800 - R680)/(R800 + R680)$	Blackburn (1998) ^[31]
Pigment specific normalized difference-b (PSND-b)	$(R800 - R635)/(R800 + R635)$	Blackburn (1998) ^[31]
Pigment specific normalized difference-c (PSND-c)	$(R800 - R470)/(R800 + R680)$	Blackburn (1998) ^[31]
Normalized phaeophytization index (NPQ)	$(R415 - R534)/(R415 + R435)$	Penuelas et al. (1995) ^[29]
Modified transformed vegetation index (MTVI)	$1.2 * [1.2 * (R800 - R550) - 2.5 * (R670 - 550)]$	Zhen et al. (2019) ^[20]
Enhanced vegetation index-2 (EVI-2)	$2.5 * [(R800 - R660)/(1 + R800 + 2.4 * R660)]$	Mondal et al. (2011) ^[21]
Carter index 2 (CTR-2)	$R695/R760$	Carter (1994) ^[32]

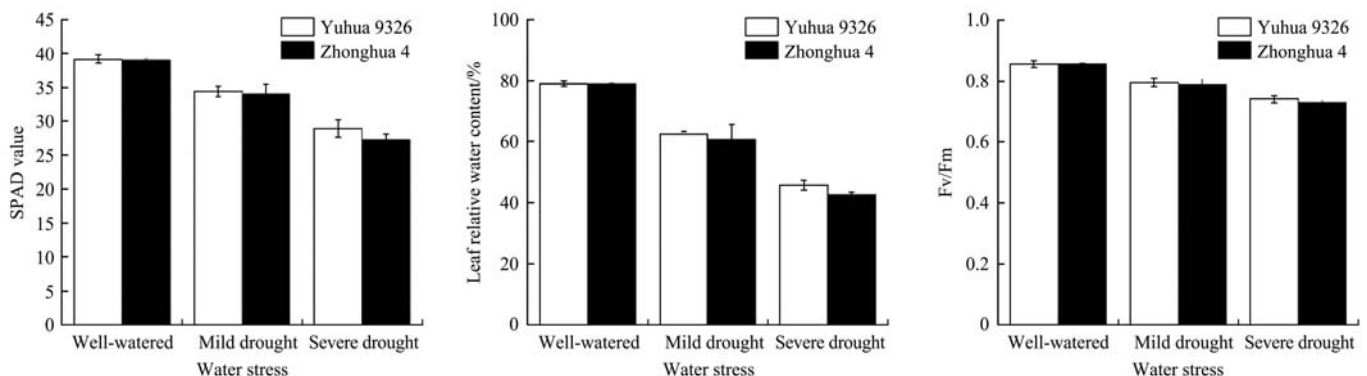


Figure 1 Effects of drought stress on physiological parameters in two cultivars at the seedling stage

We also analyse the relationship between the above three parameters under different drought stress treatments (Figure 2). There was significant relationship between the SPAD values and Fv/Fm, leaf relative water content under different drought stress, and the strongest relationship was found under mild drought stress ($R^2=0.9422$) and well-watered ($R^2=0.8898$), respectively. In addition, the Fv/Fm and leaf relative water content showed a stronger relationship under different drought stress and the strongest relationship ($R^2=0.9048$) between Fv/Fm and leaf relative water content was found in the mild drought stress. The above results indicated that the leaf relative water content, SPAD values and Fv/Fm were significantly affected by the drought stress and have the strong relationship with each other, which in turn affect the radiation absorption and reflection at different drought stress, so that the derivative relationships between the reflectance and the physiological parameters (SPAD, LRWC and Fv/Fm) can be applied to detect the leaf water status of the peanuts plants under drought stress. However, some researches have concluded that there is a weak relationship between Pn and plant growth under drought stress, where the drought stress affected the plant growth seriously^[34]. The differences might be related to crop differences in mechanisms of adaption to drought stress, where some crops can

adapt to drought stress through reducing plant growth^[35]. However, other crops could maintain higher growth rate^[36].

3.2 Changes of canopy reflectance under different drought stress

The dynamic change of mean canopy reflectance with different drought stress at the seedling stages of both cultivars are showed in Figure 3. As the drought stress level increased, the canopy reflectance decreased significantly in both cultivars, especially in NIR region (720-900 nm). And two peanut cultivars showed the same trend under different drought stress. In the near infrared regions (700-900 nm), which the magnitude reflectance is related to the structural discontinuities encountered in the leaf and the absorption characteristics of water and other compounds, respectively^[37], the curves of spectral reflectance for the three drought stress were clearly separated in both cultivars, whereas the spectral reflectance of the well-watered treatments were very close together between two cultivars. However, in the visible-infrared regions (VIS, 400-700 nm), in which is related to the leaf chlorophyll content^[38], the canopy spectra reflectance values of drought stress plants presented an insignificant decrease and was accompanied by a slight decrease as drought stress increased. These results also reveals that it is

possible to capture the effect of drought stress on the chlorophyll content, LRWC and Fv/Fm of peanut plants in terms of their spectral signature in these two parts of the spectral reflectance, which is consistent with Zygielbaum et al. (2009)^[39], who pointed out that the changes in canopy reflectance affected by drought

stress can be detected. Therefore, we continued to explore the potential of several multispectral indices, which combine the VIS and NIR regions, as a proxy tool for effective, non-destructively monitoring the water stress of peanut under different drought stress in the field.

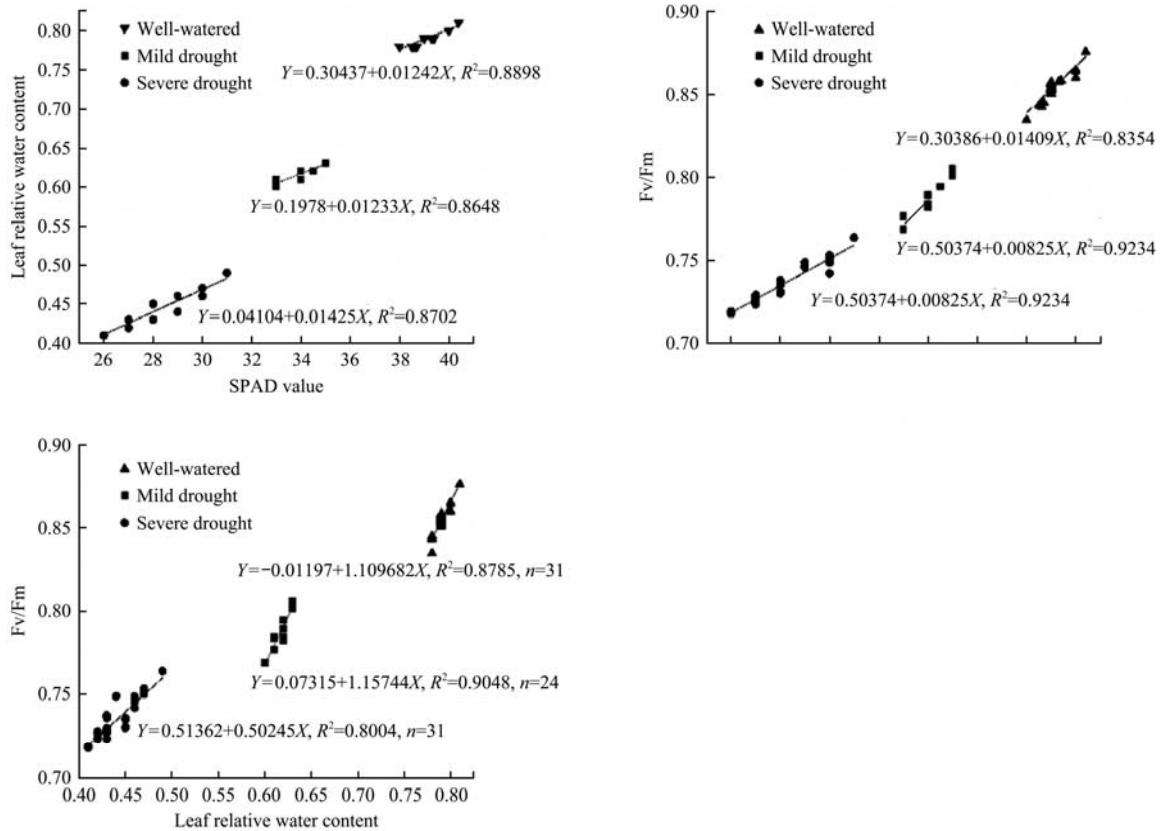


Figure 2 Pearson's correlation matrix of Fv/Fm, SPAD and LRWC across two cultivars under different drought stress. $N=31, R^2_{0.05}=0.36$

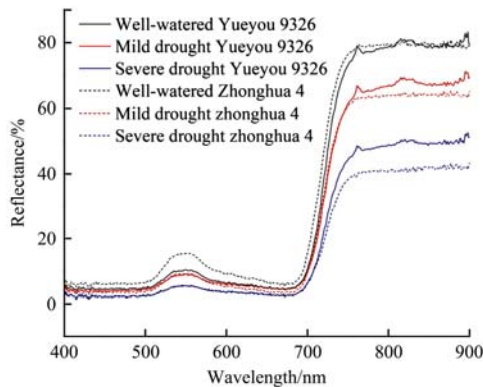


Figure 3 Effects of drought stress on the canopy spectral reflectance in two peanut cultivars in the range between 400 and 900 nm

3.3 Relationships between published indices and physiology parameters

Twenty published spectral reflectance indices which are sensitive to the change of leaf chlorophyll content, leaf structure, were regressed with the SPAD, LRWC and Fv/Fm. The two cultivars, three drought stress treatments and the replications were pooled together to assess the relationship between the physiology parameters and indices based on the determination coefficient (R^2) and equation (Table 2).

Based on the R^2 values, six indices (RDVI, TCARI, OSAVI, TCARI/OSAVI, MTVI, and EVI-2) from the 20 indices, which

showed the higher R^2 between the physiology parameters and multispectral indices, were selected to monitoring the water status of peanut. And the polynomial equation were the best models describing the relationships between multispectral indices and SPAD, Fv/Fm, and LRWC, with the exception of the MTVI in the SPAD. The reason might be that the two cultivars exhibited different response to different drought stress. The values of R^2 for these relationships ranged from 0.34 to 0.93, from 0.34 to 0.92 and from 0.34 to 0.90 for Fv/Fm, SPAD and LRWC, respectively. The best multispectral indices for monitoring peanut physiology parameters, which were used for reflecting the status of drought stress in peanut, were MTVI ($R^2=0.93, 0.92, 0.90$) in our study. Most of the sensitive multispectral indices (RDVI, TCARI, OSAVI, TCARI/OSAVI and EVI-2) selected for monitoring the water stress are only based on NIR region. However, the best multispectral indices MTVI which are based on VIS and NIR wavelength showed the strongest relationship with Fv/Fm, SPAD and LRWC, which indicate that the multispectral indices associated with chlorophyll content and leaf structure could be used as an rapid and non-destructive tools for monitoring the water stress of peanut under different drought stress. These results also suggested that wavelengths in the VIS and NIR ranges that combined together may offer a considerable potential for monitoring water stress of peanut crops under drought stress. The reason might be related the fact that the response of peanut plants to drought stress in the VIS and NIR range is possibly associated with a drought stress induced decline in the concentration of chlorophyll content and leaf

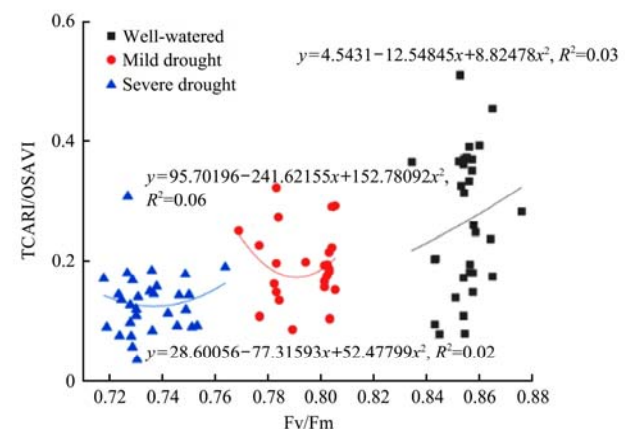
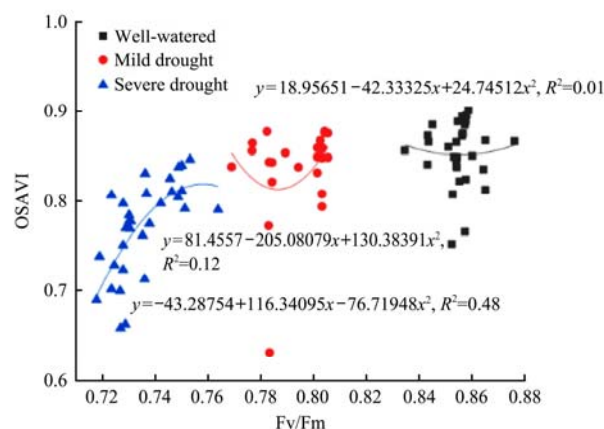
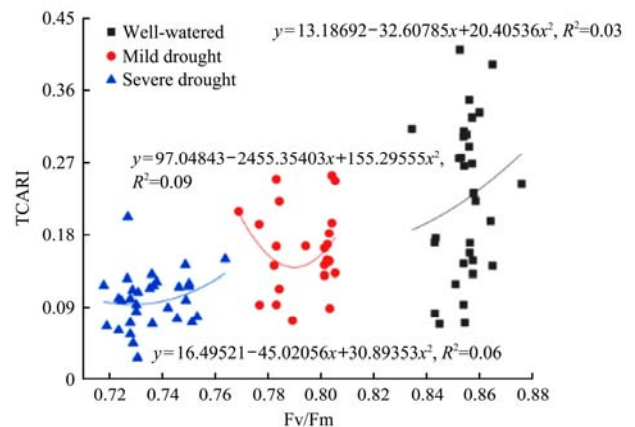
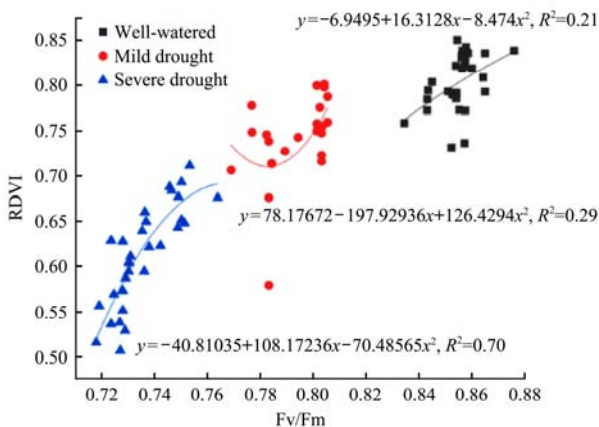
structure, respectively^[13]. However, the response of plants measured in the NIR ranges may be affected indirectly by drought stress through changes in the leaf structural and scattering at the canopy scales. Although the multispectral indices (RDVI, TCARI,

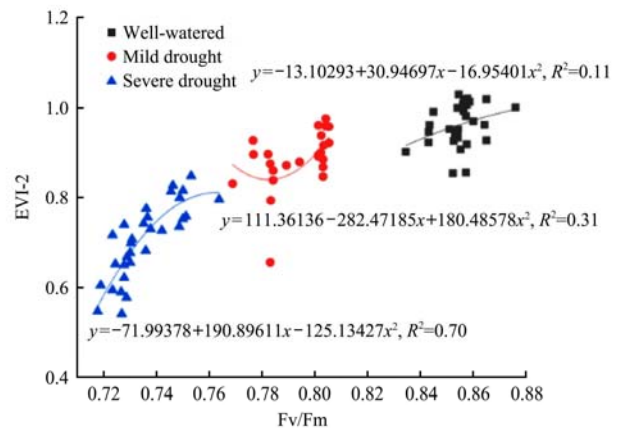
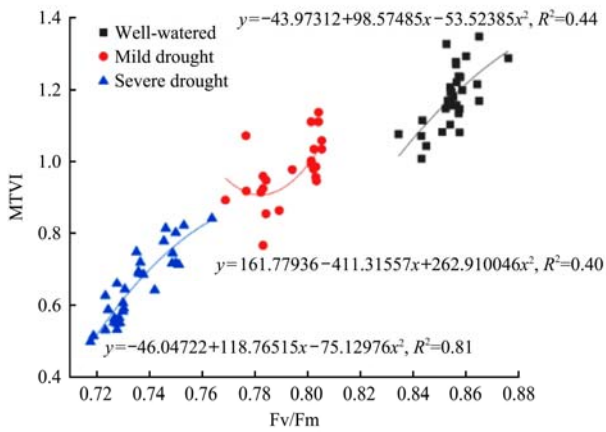
OSAVI, TCARI/OSAVI and EVI-2) based on NIR regions, which considered as proxies of chlorophyll content, biomass accumulation and plant water status under stressed conditions^[40;41], showed weak relationships with Fv/Fm, SPAD and LRWC in our study.

Table 2 The best equations and determination coefficients of the relationships across all data (n=86) between multispectral indices based on the canopy spectral reflectance and the Fv/Fm, SPAD and LRWC. n=93, R²_{0.05}=0.21

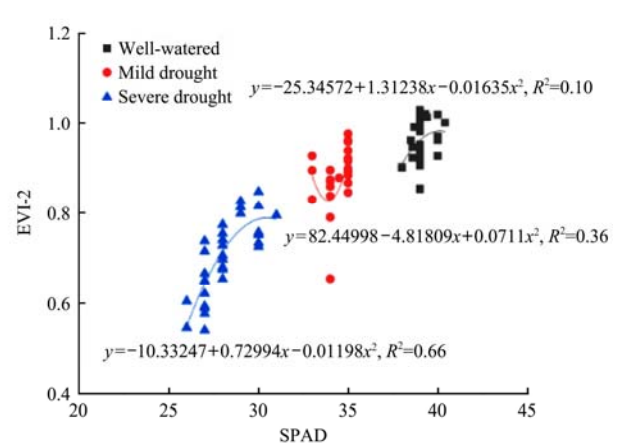
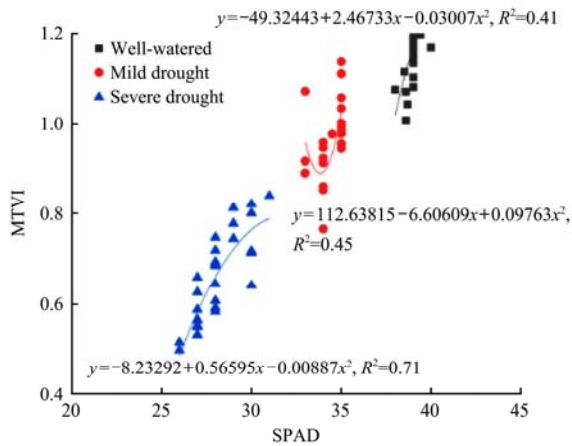
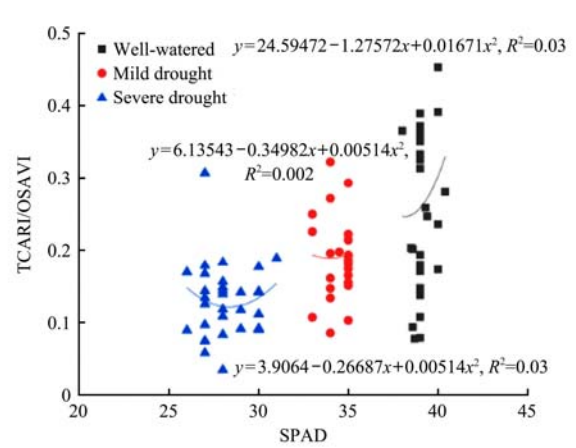
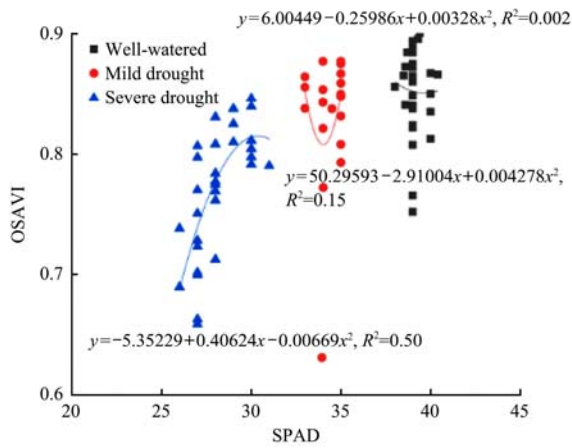
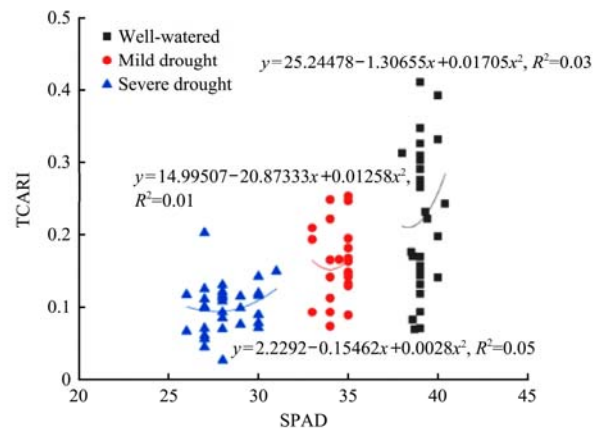
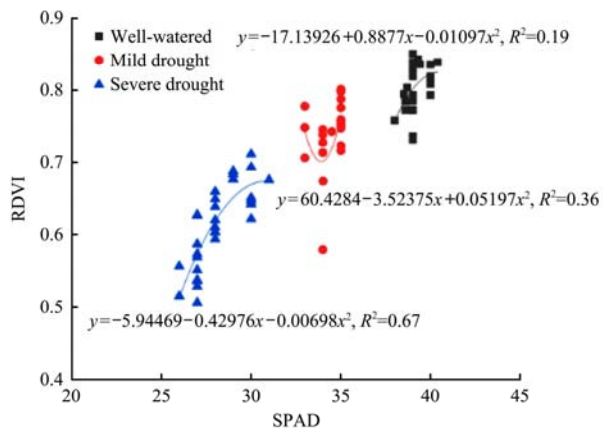
Indices	Fv/Fm		SPAD		LRWC	
	Equations	R ²	Equations	R ²	Equations	R ²
PRI	$y = 1.1309x^2 - 1.8795x + 0.7342$	0.04	$y = 0.0001x^2 - 0.008x + 0.1037$	0.03	$y = 0.1245x^2 - 0.1808x + 0.0191$	0.03
NDVI	$y = -4.3647x^2 + 6.5424x - 1.7886$	0.07	$y = -0.0007x^2 + 0.0419x + 0.0322$	0.07	$y = -0.4462x^2 + 0.4061x + 0.5717$	0.07
RDVI	$y = -11.278x^2 + 19.563x - 7.6773$	0.86	$y = -0.0008x^2 + 0.0734x - 0.796$	0.86	$y = -1.2365x^2 + 2.0776x - 0.0674$	0.82
TCARI	$y = 1.7449x^2 - 1.7248x + 0.4254$	0.41	$y = 0.0005x^2 - 0.0237x + 0.3503$	0.41	$y = 0.2566x^2 + 0.0474x + 0.0285$	0.4
OSAVI	$y = -9.538x^2 + 15.885x - 5.7565$	0.5	$y = -0.0009x^2 + 0.071x - 0.483$	0.51	$y = -1.0165x^2 + 1.4937x + 0.3057$	0.45
MCARI	$y = 5.6306x^2 - 8.4709x + 3.128$	0.04	$y = 0.0007x^2 - 0.0447x + 0.609$	0.04	$y = 0.3506x^2 - 0.2686x - 0.0084$	0.03
GCI	$y = -63.336x^2 + 83.055x - 18.855$	0.11	$y = -0.0139x^2 + 0.7398x - 1.7802$	0.11	$y = 11.159e^{-0.888x}$	0.11
SIPI	$y = 1.0526e^{-0.056x}$	0.06	$y = 1.0278e^{-6E-04x}$	0.05	$y = -0.0398x^2 + 0.0301x + 1.0047$	0.05
REM	$y = -126.84x^2 + 193.67x - 67.091$	0.04	$y = -0.0186x^2 + 1.1567x - 11.077$	0.04	$y = -10.401x^2 + 10.031x + 4.3807$	0.03
TCARI/OSAVI	$y = 3.534x^2 - 4.4992x + 1.5302$	0.34	$y = 0.0008x^2 - 0.0396x + 0.6324$	0.34	$y = 0.4766x^2 - 0.1974x + 0.1256$	0.34
PSND-a	$y = -1.9626x^2 + 2.9585x - 0.224$	0.03	$y = -0.0004x^2 + 0.0229x + 0.5375$	0.04	$y = -0.1362x^2 + 0.1079x + 0.8708$	0.03
PSND-b	$y = -4.1243x^2 + 6.4178x - 1.6223$	0.04	$y = -0.0006x^2 + 0.0395x + 0.2416$	0.05	$y = -0.3926x^2 + 0.429x + 0.7557$	0.03
PSND-c	$y = -5.1189x^2 + 8.561x - 2.7615$	0.15	$y = -0.0004x^2 + 0.0216x + 0.5758$	0.09	$y = -0.1501x^2 + 0.1032x + 0.8842$	0.09
PSSR-a	$y = -217.27x^2 + 298.22x - 80.448$	0.09	$y = -0.0561x^2 + 3.2535x - 25.777$	0.1	$y = -12.323x^2 - 1.2242x + 24.483$	0.09
PSSR-b	$y = -345.27x^2 + 515.62x - 175.09$	0.08	$y = -0.0573x^2 + 3.4748x - 34.94$	0.09	$y = -21.506x^2 + 14.468x + 15.439$	0.08
PSSR-c	$y = 138.93e^{-2.573x}$	0.11	$y = -0.0904x^2 + 5.4252x - 58.334$	0.11	$y = 31.523e^{-0.909x}$	0.11
NPQ	$y = -93.733x^2 + 153.92x - 63.181$	0.08	$y = 0.0001x^2 - 0.008x + 0.1037$	0.03	$y = -8.6943x^2 + 12.334x - 4.4158$	0.06
MTVI	$y = -16.827x^2 + 31.199x - 13.191$	0.93	$y = 1.5962\ln(x) - 4.6735$	0.92	$y = -1.83x^2 + 3.7737x - 0.6608$	0.9
EVI-2	$y = -17.896x^2 + 30.678x - 12.184$	0.84	$y = -0.0014x^2 + 0.1188x - 1.5228$	0.83	$y = -1.9497x^2 + 3.1564x - 0.316$	0.8
CTR-2	$y = 0.0323e^{1.4069x}$	0.04	$y = 0.06e^{0.0147x}$	0.04	$y = 0.072e^{0.5116x}$	0.04

Note: LRWC: leaf relative water content; R²: determination coefficient.





a. Fv/Fm



b. SPAD

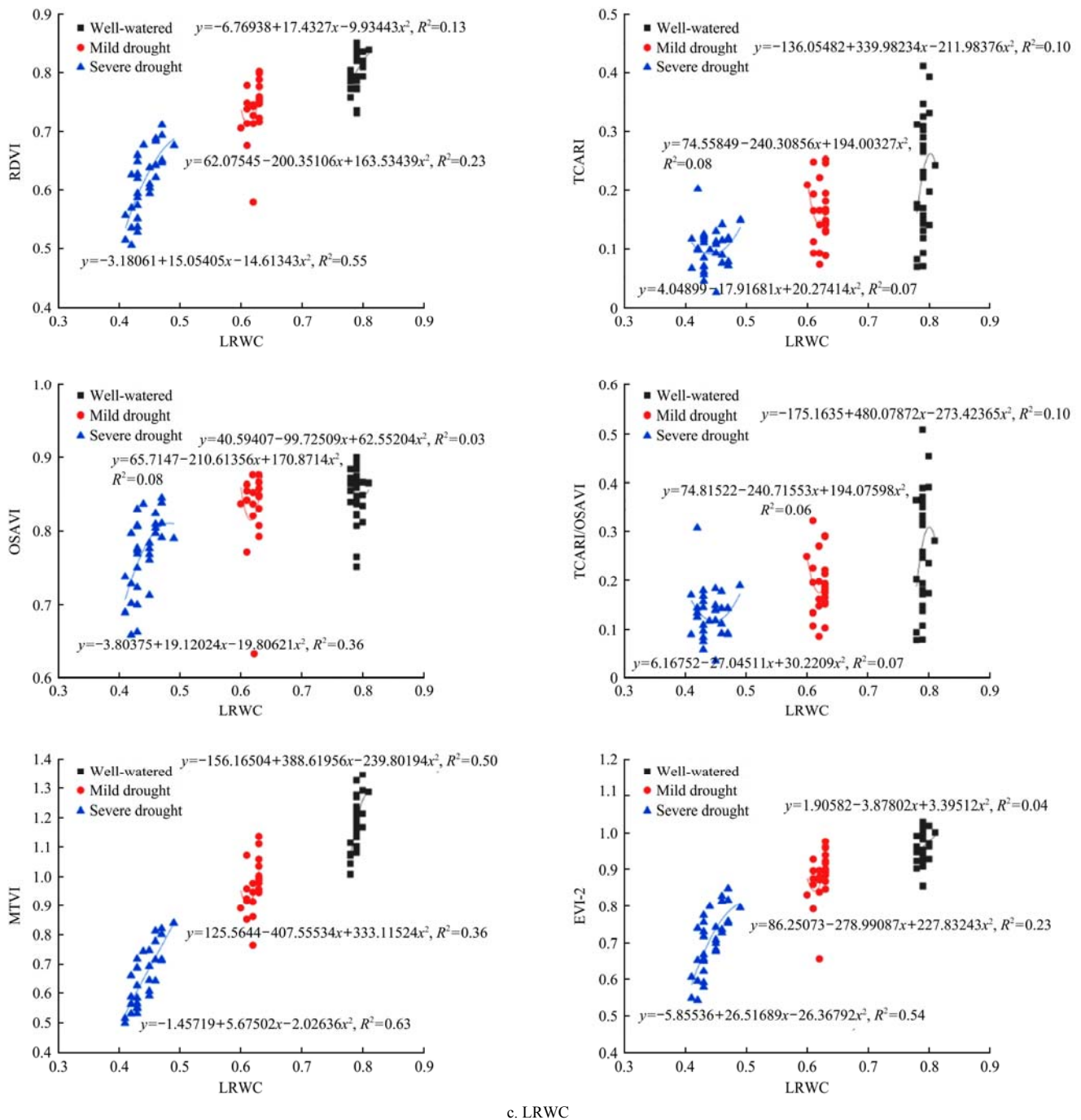


Figure 4 Relationships between Fv/Fm (a), SPAD (b), LRWC (c) and multispectral indices based on canopy spectral reflectance in the VIS and NIR regions under well-watered, mild drought and severe drought stress treatment. Data correspond to the two cultivars together for each drought stress treatment. $N=31, R^2_{0.05}=0.36$

In order to test the above six sensitive indices for monitoring the soil water content in each drought stress, we analysis the relationship between the six indices and physiology parameters for each drought stress as showed in Figure 4 (a, b, c). Based on R^2 values, only MTVI indices had significant curvilinear relationships with the three parameters under different drought stress. However, the TCARI and TCARI/OSAVI were not significantly related to the three parameters when the data of different drought stress were separated. Furthermore, regarding the relationships between the RDVI, OSAVI, EVI-2 and three parameters under different drought stress, it is noteworthy that significant relationships was showed only under severe drought stress, but not significant under well-watered and mild drought stress, which means that the three indices can monitor the water stress of peanut under severe drought

stress conditions. The reason may be that the peanut cultivars might show different mechanism under different drought stress especially in the severe drought stress^[42].

4 Conclusions

In our study, drought stress imposes significant effect on physiological parameters and canopy spectral reflectance of peanut plants. Higher relationships were found between the canopy spectral reflectance and the physiological parameters (SPAD, LRWC and Fv/Fm) which can be used to detect the leaf water status of the peanut plants under drought stress. Of the canopy spectral indices evaluated, MTVI was the only multispectral indices, which significant curvilinear relationships with the three parameters and can monitor the water status of peanut plants under

different drought stress conditions and will be a successful method for water status monitoring that can assist farmers in timely irrigation. In our future work, experiments on more ecological locations and peanut cultivars should be conducted to evaluate the application of the MTVI indices for water status monitoring of peanut.

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Conflicts of Interest

The authors declare no conflict of interests.

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